

## Compliance and Certification Committee Nomination Form

In the capacity of a North American Electric Reliability Corporation (NERC) Board of Trustees (Board)-appointed stakeholder committee serving and reporting directly to the NERC Board, the Compliance and Certification Committee (CCC) will engage with support, and advise the NERC Board and NERC Staff regarding all facets of the NERC Compliance Monitoring and Enforcement Program, Organization Registration Program, and Organization Certification Program.

The CCC has an open nomination process. Individuals or recognized industry groups may nominate candidates for consideration. A nominee that represents a NERC member may only be nominated for a sector that matches the NERC member’s sector under the NERC membership list (to check a NERC member’s sector, please consult the [NERC membership list](#)).

Please review the CCC Charter for information regarding the responsibilities of CCC members.

Nominee Information	
Name	Erin Cullum Marcussen
Organization	Southwest Power Pool, Inc.
NERC Compliance Registry (NCR)	NCR01143
Sector Membership	ISO/RTO (Seeking At-Large Sector Position)
Title	Manager, Compliance
Department, Division, or Unit	Compliance Department
Email Address	ecullum@spp.org
Telephone	501-831-3743
Brief biographical sketch of nominee including present position	See attached bio
Description of nominee’s general compliance experience/knowledge (e.g. regional council compliance committee, corporate compliance, etc.)	My entire career has been focused in highly regulated areas, first in public finance and then in the utility industry. Prior to my current position, I supported SPP’s compliance program, through my role as Senior Attorney where I served as compliance counsel as a liaison to the CCO and the compliance department. My current position is 100% dedicated to NERC Compliance. In addition to what is noted on my bio, I sit as a member of SPP’s Good Catch council which considers all NERC or Tariff related potential-non-compliance self-reports, and I have facilitated audits of the NERC standards led by both NERC and FERC, with multi-region involvement. I have also served as a member of the ISO-RTO Council’s Compliance

	<p>Working Group since 2015, currently serving in the role of Vice-Chair, and I will assume the role of Chair in 2022. The IRC CWG facilitates interactions among the ISOs/RTOs, provides a means to collaborate, identify issues of common interest and coordinate recommendations, positions and responses on behalf of the ISO/RTO entities.</p>
<p>Description of nominee’s compliance enforcement experience/knowledge (e.g. regulatory process, ERO rules of procedure, NERC sanctioning guidelines etc.)</p>	<p>As the Manager, Compliance, I have responsibility for all enforcement activities at SPP. This includes all aspects from beginning to end (self-report or audit finding through mitigation and disposition of the issue). Accordingly I have both knowledge and experience with the regulatory process, ERO ROP, and sanctioning guidelines. As referenced above, I have facilitated audits of the NERC standards led by both NERC and FERC with multi-region involvement. The responsibilities related to mitigation, disposition, settlement, and/or related filings are within my purview.</p>
<p>Description of nominee’s compliance administration/management experience/knowledge (e.g. setting up or participation in corporate compliance program)</p>	<p>My role was newly created by SPP in 2016. Upon assuming this role, my responsibilities have included transitioning SPP’s compliance program to a risk-based program, development of a documented controls program, risk assessment process, and implementation of a Governance, Risk, and Compliance tool. I am a signatory to SPP’s Internal Compliance Program and have responsibility for its implementation program.</p>
<p>Description of nominee’s organizational certification experience/knowledge (e.g. ERO registry criteria familiarity/interpretation etc.)</p>	<p>I am involved with all aspects of SPP’s Compliance program, including certification and registration. Most recently, this involved SPP’s certifications as an RC in the Western Interconnect in 2019 and again in 2020.</p>
<p>Description of nominee’s general NERC experience/background (e.g. NERC/RRO Committees, Standard Drafting Teams, Task Force, etc.)</p>	<p>I have regularly attended the NERC CCC meetings since 2017, and I have participated in the CCC EROMS Committee as well. I am a member of CCC Supply Chain Task Force. I understand the NERC Committee structure and follow and receive updates on the Standards Committee, RISC, NERC Board, etc. through SPP’s participation. While I have not served on a Standard Drafting Team, many of my direct reports have and I am therefore quite familiar with the</p>

	<p>process and workings of those groups. I have a working knowledge and overall familiarity with the NERC structure. Note: SPP’s FERC-led audit began in August 2020 and the on-site portion concluded at the end of June 2021, and due to my responsibilities related to the audit, I was not able to be as involved with CCC over the 12 months as I have in previous years, but look forward to actively participating going forward.</p>
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**Sector Information**

<p>Membership sector(s) that nominee is nominated for and is qualified to represent</p>	<p><input type="checkbox"/> Sector 1 – Investor-owned Utility</p> <p><input type="checkbox"/> Sector 2 – State/Municipal Utility</p> <p><input type="checkbox"/> Sector 3 – Cooperative Utility</p> <p><input type="checkbox"/> Sector 4 – Federal or Provincial Utility/Power Marketing Administration</p> <p><input type="checkbox"/> Sector 5 – Transmission-dependent Utility</p> <p><input type="checkbox"/> Sector 6 – Merchant Electricity Generator</p> <p><input type="checkbox"/> Sector 7 – Electricity Marketer</p> <p><input type="checkbox"/> Sector 8 – Large End Use Electricity Customer</p> <p><input type="checkbox"/> Sector 9 – Small End Use Electricity Customer</p> <p><input type="checkbox"/> Sector 10 – ISO/RTO</p> <p><input type="checkbox"/> Sector 12 – Government Representatives</p> <p style="padding-left: 20px;"><input type="checkbox"/> U.S. State</p> <p style="padding-left: 20px;"><input type="checkbox"/> U.S. Federal</p> <p style="padding-left: 20px;"><input type="checkbox"/> Canadian Federal</p> <p style="padding-left: 20px;"><input type="checkbox"/> Canadian Provincial</p> <p><input checked="" type="checkbox"/> At-Large</p>
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**Nominator Information**

<p>Nominated by (please check one)</p>	<p><input checked="" type="checkbox"/> Individual</p> <p><input type="checkbox"/> Organization</p> <p><input type="checkbox"/> Self-nomination</p>
Name	Erin Cullum Marcussen
Organization	Southwest Power Pool, Inc.
Email Address	ecullum@spp.org
Telephone	501-688-2503 / 501-831-3743

**Erin Cullum Marcussen**  
**Manager, Compliance**  
**Southwest Power Pool, Inc.**



Erin Cullum Marcussen joined Southwest Power Pool, Inc. (SPP) in July 2010. Since June 2016, she has served as the Manager of Compliance with the responsibility for managing SPP's compliance program with the federal North American Electric Reliability Corporation (NERC) regulations applicable to electric utilities, including standards on cyber security, critical infrastructure, real-time operations, and long-term planning. As Manager of Compliance, Erin is responsible for managing all aspects of the SPP's compliance program, and ensuring SPP's Internal Compliance Program is effectively implemented in order to achieve and maintain compliance with existing and future NERC Standards. In addition, she manages SPP's compliance-monitoring and enforcement regulatory activities and its internal corrective action and self-reporting program. She is a participant on the NERC Compliance and Certification Committee (CCC) and the Electric Reliability Organization Monitoring Subcommittee, and a member of the CCC Supply Chain Task Force. She is vice-chair of the Independent System Operator/Regional Transmission Organization Council Compliance Working Group, and will assume the role of Chair in 2022. Prior to assuming her current role, Erin served SPP as Senior Attorney where her work focused on state and federal regulatory matters, regulatory outreach, seams-related matters, human resources, compliance matters, and other corporate legal support. Erin is a recipient of the SPP President's Award and serves on the SPP 401K Committee. Prior to joining SPP, Erin was an attorney with Friday, Eldredge & Clark, LLP where she focused primarily on municipal bonds and public finance.

Erin received her Juris Doctorate, Summa Cum Laude, from the University of Arkansas in 2004, where she was awarded the Pearson Fellowship. She received her Bachelor of Arts from the University of Arkansas in 2001.

Erin is a member of the Board of Trustees for Pulaski Academy, and serves as the Secretary of the Board. She and her husband are chairing the 2022 Arkansas Heart Association Central Arkansas Heart Walk. In addition, Erin previously served on the Board of Directors for Women and Children First, the Board of Directors of the Junior League of Little Rock, and is a past president of Arkansas Arts Center Contemporaries Advisory Council. Erin was honored as a 2014 Finest Honoree at the Cystic Fibrosis Foundation's Taste of the Finest.